

Is sense-making key to organizational barriers?

Experiences from the nuclear industry

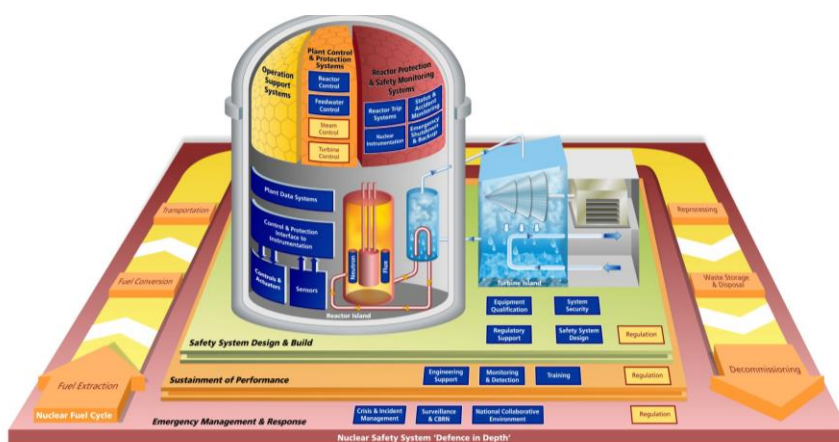
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HTC 2016

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Defence-in-depth



02/05/2016

HTC 2016

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Defence-in-depth



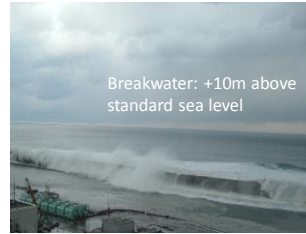
- Strong belief that nuclear power is safe
- That nearly all potential accidents can be foreseen
 - Those that can't, can still be controlled through the application of procedures
- That Tjernobyl was a unique

Dilemma



- Imagine that you as a manager of a nuclear power plant has just invested in increasing the height of a sea wall from 4 to 6 meters at the cost of many millions because of a risk analysis
- A new risk analysis shows that you have the potential for a tsunami of 15 meters
- The highest you have experienced before is 3.7 meters
- 300 years of historical data indicates the highest tsunami to have been under 4 meters
- **How likely are you to act on the new risk analysis?**

Fukushima Daiichi



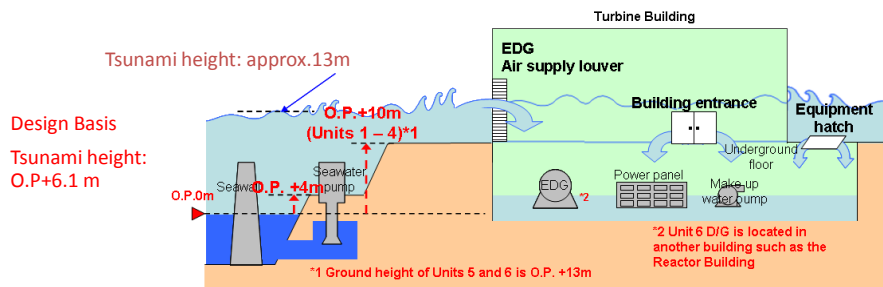
Breakwater: +10m above standard sea level



Breakwaters are all broken.



Fukushima Daiichi



Organizational barriers



- The nuclear industry distinguishes between:
 - Nuclear safety (process safety)
 - Industrial safety (person safety)
- Barriers for nuclear safety are primarily technical
- Barriers for industrial safety are primarily non-technical
- However, organizational barriers are non-technical barriers for nuclear safety
 - Solutions often borrowed from industrial safety

Organizational barriers



- Organizational barriers depends on:
 - Compliance with regulations
 - The current economic climate
 - Human understanding and sense-making of complex systems
 - The national culture

Organizational barriers



- Organizational barriers are similar to safety culture traits, e.g. WANO (2013):
 - Individual commitment to safety
 - Management commitment to safety
 - Managements system

Individual commitment



- Personal accountability
- Questioning attitude
- Safety communication

Management commitment



- Leadership accountability
- Decision-making
- Respectful work environment

Management system



- Continuous learning
- Problem identification and resolution
- Environment for raising concern
- Work processes (planning, follow-up, QC)

Organizational barriers



- Solutions often based on control and monitoring
 - Procedures
 - Risk analyses
 - Work orders
 - Training and competence
 - Behavioural intervention
 - KPI

Challenges



- Engineering solutions to human/organizational challenges
- Human behaviour theory vs. practice
- Culture for questioning senior personnel
- Balance between regulator requirements and worker needs
- Competence - short term vs. long term
- National culture

Conclusion



- Organizational barriers need a strong foundation:
 - Prioritization of safety from senior management
 - Trust between management and workers
 - Continual safety dialogue
 - Active inclusion of workers in safety initiatives
 - Relevant training and competence
 - Interventions should be made on-site

Conclusion



- Success depends on sense-making
 - If it isn't relevant and applicable it won't work